

GP 1775

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"PATENT"  
Attorney Docket No. W9443-02

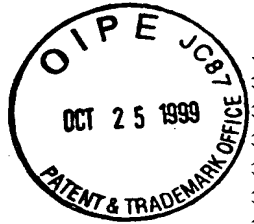
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Chapman

Serial No.: 09/350,060

Filed: July 8, 1999

For: INK-RECEPTIVE COATINGS AND  
RECORDING MEDIUM PREPARED  
THEREFROM



Before the Examiner

Group Art Unit: 1775

Honorable Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

Pursuant to 37 CFR §1.8, I hereby certify that I have a reasonable basis to expect that this correspondence will be deposited with the United States Postal Service on or before the date indicated as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

October 22, 1999      James Shugart  
Date                                      Signature

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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL  
LETTER/PETITION FOR CONSIDERATION UNDER 37 CFR §1.97

- I. Enclosed is:
- ☒ Information Disclosure Statement Form No. PTO-1449 and copies of references where indicated.
- II. ☒ The Examiner is requested to consider the references cited in the attached Information Disclosure Statement without a fee or certification because it was filed:
- ☐ within three months of the filing date of this national application;
  - ☐ within three months of the date of entry of the national stage as set forth in §1.491 in an international application; or
  - ☒ before the mailing date of a first Office Action on the merits;
- whichever event occurred last.

III. ☐ The Examiner is requested to consider the attached Information Disclosure Statement pursuant to 37 CFR §1.97(c) in that it is being filed after the periods specified in Paragraph II above, but before the first to occur of the mailing date of either:

- ☐ Final Action under 37 CFR §1.113;
- ☐ Notice of Allowance under 37 CFR §1.311;

and in support of such request the undersigned either:

- ☐ has made the certification hereinbelow pursuant to 37 CFR §1.97(e); or
- ☐ is paying a petition fee under 37 CFR §1.17(p) in the amount of \$240.00.

IV. ☐ The Examiner is requested to consider the attached Information Disclosure Statement pursuant to 37 CFR §1.97(d) in that it is being filed before the payment of the Base Issue Fee and after the mailing date of the first to occur of either:

- (1) Final Action under 37 CFR §1.113; or
- (2) Notice of Allowance;

and in support of said request the undersigned:

- (i) has made the certification hereinbelow pursuant to 37 CFR §197(e); and
- (ii) is paying a petition fee under 37 CFR §1.17 (i)(1) in the amount of \$130.00.

**Certification Under 37 CFR §1.97(e)**

[applicable to only III or IV above]

- ☐ The undersigned hereby certifies that for each item of information contained in the Information Disclosure Statement it was either:
  - (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
  - (2) not cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned upon making reasonable inquiry, was not known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

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V. Fee Payment

- ☐ Petition fee under 37 CFR §1.17(p) to consider Information Disclosure Statement under 37 CFR §1.97(c) is \$240.00.
- ☐ Petition fee under 37 CFR §1.17(i)(1) to Consider Information Disclosure Statement under 37 CFR §1.97(d) is \$130.00
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 07-1770.
- ☐ The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required by this paper, or credit any overpayment, to Deposit Account No. 07-1770. A duplicate copy of this Form is enclosed.
- ☒ No fee due.

October 22, 1999  
Date of Signature

Charles A. Cross  
Attorney or Agent  
Charles A. Cross - Reg. No. 32,406  
Telephone: 410-531-4518

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Columbia, MD 21044-4098

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